## JAP3 RCC'd PCT/PTO 3 0 MAR 2006

FORM PTO-1390 (REV. 01-2003)

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US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE .

## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER

127573

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) New U.S. National Stage of PCT/JP2004/011607

CONCERNING A FILING UNDER 35 U.S.C. 371				10/573972	
INTERNATIONAL APPLICATION NO. PCT/JP2004/011607			INTERNATIONAL FILING DATE August 12, 2004	PRIORITY DATE CLAIMED October 1, 2003	
TITLE OF INVENTION PROCESS FOR PRODUCING OPTICALLY ACTIVE DIHYDROPYRIDINE PHOSPHONIC ESTER					
APPLICANTS FOR DO/EO/US Yasutaka TAKADA; Hiroo MATSUMOTO					
Applic	cant I	herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1.	$\boxtimes$	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.			
2.		This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.			
3.	$\boxtimes$	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4.	$\boxtimes$	The US has been elected (Article 31).			
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
a. 🛛 is attached hereto (required only if not communicated by the International Bureau).				ternational Bureau).	
b.  has been communicated by the International Bureau.					
		c.  is not required, as the application was filed in the United States Receiving Office (RO/US).			
6.	6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))				
a. 🛛 is attached hereto.					
,-		b.  has been previously submitted under 35 U.S.C. 154(d)(4).			
		c.  The International Application was filed in English.			
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				Article 19 (35 U.S.C. 371(c)(3))	
		a.   are attached hereto (required only if not communicated by the International Bureau).			
		b.  have been communicated by the International Bureau.			
		c. $\square$ have not been made; however, the time limit for making such amendments has NOT expired.			
		d.  have not been made and wi	Il not be made.		
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9.	$\boxtimes$	An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).			
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 20 below concern document(s) or information included:					
11.		An Information Disclosure Stateme	ent under 37 CFR 1.97 and 1.98.		
12.	$\boxtimes$	An assignment document for record	ding. A separate cover sheet in co	mpliance with 37 CFR 3.28 and 3.31 is included.	
13.		A preliminary amendment.			
14.	$\boxtimes$	An Application Data Sheet under 37 CFR 1.76.			
15.		A substitute specification.			
16.	$\boxtimes$	A power of attorney and/or change of address letter.			
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.			
18.		A second copy of the published international application under 35 U.S.C. 154(d)(4).			
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).			
20.	$\boxtimes$	Other items or information: a copy and Statement Under 37 CFR § 3.7		s attached hereto; Transmittal of Power of Attorney	

U.S. APPLICATION NO Millspown, see 37 C.F. New U.S. National Stage of INTERNATIONAL AP PCT/JP2004/011607 127573 PCT/JP2004/011607 ☐ The following fees are submitted: CALCULATIONS PTO USE ONLY \$300.00 **SEARCH FEE (37 CFR 1.492(b)(1)-(3)):** \$400.00 International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage ......\$ International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA...... \$ 100.00 International search report provided to USPTO no later than the time at which All situations not provided for above......\$ 500.00 **EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):** \$200.00 International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage ......\$ All situations not provided for above .......\$ 200.00 Surcharge of \$130.00 for furnishing the search fee, the examination fee or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). TOTAL PAGES OF APPLICATION OVER  $0 \div 50$ = +0 250 =\$ 100 (17 - 100) tround up to next integer CLAIMS NUMBER FILED NUMBER EXTRA RATE \$ TOTAL CLAIMS 5 - 20 50.00 = \$ INDEPENDENT CLAIMS 0 200.00 = \$ MULTIPLE DEPENDENT CLAIM(S)(if applicable) 360.00 = \$ TOTAL OF ABOVE CALCULATIONS = \$900.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** \$900.00 Amount to be refunded: charged: Check No. 178394 in the amount of \$900.00 to cover the above fees is enclosed. a. Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_ \_\_ to cover the above fees. A duplicate copy of this b. sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to C. Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card d. information should not be included on this form. Provide credit card information and authorization on PTO-2038. Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (87 CFR 1.137(a) or (b)) NOTE: must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC

NAME: James A. Oliff
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Date

Customer Number:

March 30, 2006

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